

A Growing Evolutionary Algorithm and Its Application for Data Mining

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Abstract—an unsuitable representation will make the task of mining classification rules very hard for a traditional evolutionary algorithm (EA). But for a given dataset, it is difficult to decide which one is the best representation used in the mining progress. In this paper, we analyses the effects of different representations for a traditional EA and proposed a growing evolutionary algorithm which was robust for mining classification rules in different datasets. Experiments showed that the proposed algorithm is effective in dealing with problems of deception, linkage, epistasis and multimodality in the mining task.

Index Terms-association rule; evolutionary algorithm; representation;

I. INTRODUCTION

Evolutionary algorithms (EAs) are robust adaptive systems that have been applied successfully to hard optimization problems [1,2,3]. There are two famous approaches commonly used by evolutionary algorithms as classifier systems, the Michigan approach and the Pittsburgh approach [4]. The Michigan approach maintains a population in which each individual is a rule and the Pittsburgh approach maintains a population in which each individual is a rule set and is encoded as a variable-length string.

Both of the two approaches run under the standard framework of EA and are based on the assumption that the fitness function value can be used to estimate the distance to the nearest global maximum. However, a lot of factors existed in the process of searching for associative rule largely affect the result of optimization, for example, linkage, epistasis, multimodality and deception [5,6]. How to eliminate these affections has become a key problem when the evolutionary algorithms were used in data mining. In this paper, we propose a growing evolutionary algorithm (GEA) for data mining which can be used to decrease the disturbances coming from deception, linkage, epistasis and multimodality.

II. REALTED WORK

A. GA Difficulty

The search for factors affecting the ability of the GA in optimization problems has become a major focus within the theoretical GA community. The two key problems preventing GA from finding the global optima efficiently are deception and linkage [7]. According to schema theorem and building block hypothesis, proposed by Holland, it is very hard for a high order schemata or building blocks to be recombined by low order ones in population-based searching [8]. This will result in an inefficiency implementation of traditional GA. Based on the work of Bethke [9], Goldberg proposed several approaches to deal with this kind of problems [10,11]. At the same time, a new crossover operator has also been proposed by Harik [12] to evolve the tight linkage. Das [13] claimed that deception is the only thing that is important in making a problem hard for a GA. However, Grefenstette [14] believed that deception is neither necessary nor sufficient for a problem to be hard for a GA. Now, it is clear that all of the facts, linkage, epistasis, multimodality, noise, deception and spurious correlations, can cause difficulty for a GA.

A model of fitness landscapes developed by Jones [15] suggests that there are strong connections between GA search and heuristic search. A general principle of heuristic functions is that they should correlate well with the distance to the goal of the search. If the fitness function of GA is viewed as a heuristic function, the value of fitness function is the estimate distance to the nearest goal of the search. FDC is a method of quantifying the relationship between fitness and distance.

Given a set $F=\{f1, f2, ..., fn\}$ of n individual fitness values and a corresponding set $D=\{d1, d2, ..., dn\}$ of the n distances to nearest global maximum, we compute the correlation coefficient r, as

 $r=c_{FD}/s_Fs_D$, where

$$c_{FD} = \frac{1}{n} \sum_{i=1}^{n} fi - \overline{f} (di - \overline{d})$$

is the covariance of F and D, and s_F , s_D , f and d are the standard deviations and means of F and D respectively.

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B. Association Rule and Associative Classification

Let $\tau = \{i1, i2, ..., im\}$ be a set of literals, called items. Let D be a set of transaction, where each transaction T is a set of items such that $T \subseteq \tau$. Formally, an association rule R is an implication X = >Y, where X and Y are sets of items or itemsets in a given dataset. The confidence of the rule Conf(R) is the percentage of transactions that contain Y amongst the transactions containing X. The support of the rule Supp(R) is the percentage of transactions containing X and Y with respect to the number of all transactions. Given a set of transactions D, the problem of mining association rules is to generate all association rules that have support and confidence greater than the userspecified minimum support (called minSuppport) and minimum confidence (called minConfidence) respectively [16].

$$Supp(R) \ge minSupport$$
 (1)

$$Conf(R) >= minConfidence$$
 (2)

Liu [17] first proposed the AC approach, named classification based on associations algorithm (CBA), for building a classifier based on the set of discovered class association rules (CARs). The difference between rule discovery in AC and conventional frequent itemset mining is that the former task may carry out multiple frequent itemset mining processes for mining rules of different classes simultaneously.

Assuming that a dataset is a normal relational table which consists of N cases described by t distinct attributes, we can treat a case as a set of (attribute, integer-value) pairs and a class label. Each (attribute, integer-value) pairs can be called an item. Data mining in associative classification (AC) framework usually consists of two steps: (1) Generating all the class association rules (CARs) which has the form of iset=>c, where iset is a set of (attribute, integer-value) pairs and c is a class.

(2) Building a classifier based on the generated CARs. Generally, a subset of the association rules was selected to form a classifier and AC approaches are based on the confidence measure to select rules.

III. GA DIFFICULTY IN ASSOCIATION RULE MINING

A. The Different Representations of Rules in Rule Space

In this section, we describe a dataset D which consist of four condition attributes $A=\{a1,a2,a3,a4\}$, $B=\{b1,b2,b3,b4\}$, $C=\{c1,c2,c3,c4\}$, $D=\{d1,d2,d3,d4\}$ and a class attribute $E=\{e1,e2\}$. Each attribute contains four values and we represent them with five variables X, Y, Z, M and N as follows:

 $x=1 \rightarrow a1, x=2 \rightarrow a2, x=3 \rightarrow a3, x=4 \rightarrow a4 y=1 \rightarrow b1, y=2 \rightarrow b2, y=3 \rightarrow b3, y=4 \rightarrow b4 z=1 \rightarrow c1, z=2 \rightarrow c2, z=3 \rightarrow c3, z=4 \rightarrow c4 m=1 \rightarrow d1, m=2 \rightarrow d2, m=3 \rightarrow d3, m=4 \rightarrow d4, n=1 \rightarrow e1, n=2 \rightarrow e2$

As can be seen in Fig.1-A, we describe a record of the dataset D with a circle. The yellow circles are records in class e1 and the green ones are records in class e2.

However, if we change the representation of the record, we can obtain another distribution of the records in record space, which can be seen in Fig.1-B.

 $x=1 \rightarrow a1, x=2 \rightarrow a4, x=3 \rightarrow a3, x=4 \rightarrow a2 y=1 \rightarrow b1, y=2 \rightarrow b3, y=3 \rightarrow b4, y=4 \rightarrow b2 z=1 \rightarrow c4, z=2 \rightarrow c2, z=3 \rightarrow c3, z=4 \rightarrow c1, m=1 \rightarrow d2, m=2 \rightarrow d4, m=3 \rightarrow d1, m=4 \rightarrow d3, n=1 \rightarrow e1, n=2 \rightarrow e2.$



Figure 1. Records in Record Space

B. The Effectiveness of Different Representation

The association rule space S consists of all the possible frequent itemsets. Each possible frequent itemset is a point in this space. If a point in the rule space whose support is greater than support threshold, we call the point a frequent itemset. The objective of the association rule

mining in space S is to extract all the frequent itemsets whose confidence is great than confidence threshold.

Comparing with Fig.1, we showed the association rules whose support and confidence values are great than the support threshold 5% and confidence threshold 50% in Fig.2-A. The blue circles are the found rules.



Figure 2. Rules in Rule Space

Comparing with Fig.2-A, after changing the encoding manner, we showed the association rules whose support



Figure 3. Fitness Values of The Founded Association Rules

In traditional GA, if an appropriate expression manner was chosen and the problem is an easy one to solve, the fitness function should be used to estimate the distance to the nearest global maximum. But if some factors exist in the process which affect the mining greatly or an unsuitable expression was selected, the fitness function will not drive the optimal process effectively. We can see this from Fig.3, A is in an appropriate expression manner and B is in an unsuitable expression manner.

In fact, for a giving dataset D, it is very difficult to decide which representation is a suitable one and at the same time, linkage, deception, epistasis and multimodality always existed in the optimal progress. These will make the traditional GA hard for mining association rules.

IV. GROWING EVOLUTIONARY ALGORITHM

A. Growing Evolutionary Algorithm

For building a more accurate classifier, it is very important to find class association rules with larger confidence values. And the mining procedure can be considered as an optimization process in the rule space, in which the CARs can be considered as individuals in a population. The different representations will have a dramatic impaction on how easily the problem will be solved.

and confidence values are great than the two thresholds in

Fig.2-B. The blue circles are the found rules.

Different with traditional EA, we represent individuals with different number of items which can be seen as different age. Every generation was grouped into different groups by age. As the increasing of the age, the support values of the individuals become more and more small. The confidence values were used for selecting good individuals within the same group in which individuals are at the same age. Since the selection was implemented within a limited area (at the same age), it prevents the misleading of the fitness function. A memory set was used in this algorithm to retain the elite ones. When the size of the memory set was set to 1, the algorithm can be used to search the best individual. When the size was set to k, it can be used to find a set of the best individuals.

The proposed growing evolutionary algorithm for data mining is presented below and is illustrated in Fig.1:



Figure 4. The Proposed GEA Algorithm

Step 1. Count the support of every item appeared in the given dataset and choose the one whose support value is greater than support thresholds to form the items set I, I={item1, item2, ..., itemn}. Randomly initialize a rule population P_t, P_t=p_{1,t}+p_{2,t}+...+p_{N,t}. (t=0). Each rule p_{i,t} (i=1,2,...,N) in it has a class label and several items coming from set I.

Step 2. Select rules in population P_t to form different groups, $Group_{n,t}$. Each rule $p_{n,i,t}$ in the same group has the same age. The age is the number of the items in each rule.

Step 3. Calculate the support value and confidence value of different rules, $p_{n,i,t}$ in different groups.

Step 4. Do selection operator within each group Group_{n,t}, Group_{n,t+1} = Ts (Group_{n,t}). The selection operation Ts is defined by the following. (1) Suppress the rules $p_{n,i,t}$ in the group Group_{n,t} whose support value are less than the support threshold "minSupport" and form the group Group'_{n,t}. (2) Sort the individuals $p_{n,i,t}$ in the group Group'_{n,t} according to their fitness and choose the first m_n highest confidence rules to form the population Group_{n,t+1}.

Step 5. Integrate the individuals $p_{n,i,t}$ in different group Group_{n,t+1} into one population P^*_{t+1} , $P^*_{t+1}=p^*_{1,t+1}+p^*_{2,t+1}+\ldots+p^*_{N,t+1}$. Select the best j individuals and put them into the memory set M. Update the memory set, only the best k individuals are remained in it.

Step 6. In population $P^*_{t+1,y}$ the individual $p^*_{n,t+1}$ reproduces its children $p^{**}_{n,t+1}$ using an operator of singlepoint random hybridization, Tc. The process of crossover is just like it in conventional The reproduced population is presented with P^{**}_{t+1} .

Step 7. Do a mutation operation. $P_{t+1}=T_m(P^{**}_{t+1})$. The mutation operation T_m is defined by the following: (1) Randomly choose an item_i from set I. If there is an item_k in the rule whose attribute is the same with item_i, randomly choose another one until no item_k in the rule whose attribute is the same with item_i. If no such an item

can be found, the rule gets its maximal lifetime (the number of items of the rule is equal to the number of attributes of a record) and is deleted. (2) If not be deleted, an item_i is added to it to form a new one.

Step 8. If termination condition is met, stop. The termination condition is that the best individual keep unchanged with continues ten generations. Otherwise, t=t+1; Go step 2.

B. Convergence Analysis

Genetic algorithm can be described as a Markov chain $Pt=\{P(t), t\geq 0\}$. The operations selection, crossover and mutation are independent and stochastic processes. And the new produced generations are related not to the generations before their parents, but to their parent generation.

Definition 1(Markov chain). A stochastic process $\{X(n), n\geq 0\}$ with finite values $I=\{i_0, i_1, \dots\}$, is said to discrete time Markov chain or Markov chain if it satisfies the constraint $P\{X(n+1)=i_{n+1} \mid X(0)=i_0, X(1)=i_1, \dots, X(n)=i_n\}=P\{X(n+1)=i_{n+1} \mid X(n)=i_n\}$, where $P\{X(0)=i_0, X(1)=i_1, \dots, X(n)=i_n\}>0$.

Definition 2(Transition probability). Probability $P\{X(n)=j \mid X(m)=i , n>m\}$ is defined as transition probability of a Markov chain, and is described as Pij(m, n).

Definition 3 (Satisfying solution set). A solutions set B is said to satisfying solution set, where solutions $X(X \in B)$ and $Y(Y \notin B)$ obey the rule f(X) > f(Y).

Theorem 1. Define P as a transition probability in population space S^N , $\{\vec{X}(n)\}$ as a Markov chain, M as global optimum solution, and B as satisfying solutions set. Let

$$\alpha_n^B = p\{\vec{X}(n+1) \cap B = \Phi / \vec{X}(n) \cap B \neq \Phi\}$$
(3)

$$\beta_n^B = p\{\vec{X}(n+1) \cap B = \Phi / \vec{X}(n) \cap B = \Phi\}$$
(4)

If
$$\sum_{n=1}^{\infty} (1 - \beta_n^B) = \infty$$
 (5)

$$\lim_{n \to \infty} (\alpha_n^B) / (1 - \beta_n^B) = 0$$
(6)

Then
$$\lim_{n \to \infty} P\{\vec{X}(n) \cap B \neq \phi\} = 1$$

That is to say, if both conditions (5) and (6) are true, $\{\vec{X}(n)\}\$ converges to optimum solutions set M with probability 1.

Proof
$$P_0(n) = P\{X(n) \cap B \neq \phi\}$$
.

According to Bayes formula, we can get

$$P_0(n+1) = P\{\vec{X}(n+1) \cap B \neq \phi\}$$

$$=P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B \neq \phi\} \bullet \{P\{\vec{X}(n+1) \cap B \neq \phi\} \\ +P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \bullet \{P\{\vec{X}(n+1) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+1) \cap B = \phi\} \\ \bullet \{P\{\vec{X}(n+$$

$$\leq \alpha_n^B + \beta_n^B P_0(n) \tag{7}$$

According to (6), we can get

$$\alpha_n^B / (1 - \beta_n^B) \le \varepsilon / 2$$
, where $\varepsilon > 0$ and $n \ge N_1$

According to (7), we can get

$$(P_0(n+1)-\varepsilon/2)-\beta_n^B(P_0(n)-\varepsilon/2)$$

$$\leq P_0(n+1) - \beta_n^B (P_0(n) - \alpha_n^B \leq 0,$$

Therefore

$$P_0(n+1) - \varepsilon / 2 \le \beta_n^B (P_0(n) - \varepsilon / 2)$$

$$P_0(n+1) \le \varepsilon / 2 + \prod_{k=1}^n \beta_n^B (P_0(n) - \varepsilon / 2)$$

The condition (5) is equivalent to $\prod_{k=1}^{n} \beta_{n}^{B} = 0$, so

$$\prod_{k=1}^{n} \beta_n^B \leq \varepsilon / 2 \text{, where } n \geq N_{2,}$$

Consequently,

$$P_0(n+1) \le \varepsilon \text{, where } n \ge \max(N_1, N_2)$$
$$\lim_{n \to \infty} P\{\vec{X}(n) \cap B = \phi\} = \lim_{n \to \infty} P_0(n) = 0$$

$$\lim_{n\to\infty} P\{\vec{X}(n) \cap B \neq \phi\} = 1.$$

Theorem 2 For every satisfying solutions set B, if $P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) = \vec{X}\} \le a_n$

$$(\vec{X} \cap B \neq \phi)$$

$$P\{\vec{X}(n+1) \cap B = \phi/\vec{X}(n) = \vec{X}\} \le b_n$$

$$(\vec{X} \cap B = \phi)$$

$$\sum_{n=1}^{\infty} (1-b_n) = \infty \tag{8}$$

$$a_n / (1-b_n) \to 0 \tag{9}$$

Then, we can say, $\{\vec{X}(n)\}$ converges to global optimum solutions set M with probability 1.

Proof

$$\alpha_n^B = P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) \cap B = \phi\}$$
$$= \frac{P\{\vec{X}(n+1) \cap B = \phi, \vec{X}(n) \cap B = \phi\}}{P\{\vec{X}(n) \cap B = \phi\}}$$

$$\sum_{\vec{X} \cap B \neq \phi} P\{\vec{X}(n+1) \cap B = \phi / \vec{X}(n) = \vec{X}\} P\{\vec{X}(n) = \vec{X}\}$$
$$P\{\vec{X}(n) \cap B = \phi$$
$$\leq a_n \frac{\sum_{\vec{X} \cap B \neq \phi} P\{\vec{X}(n) = \vec{X}\}}{P\{\vec{X}(n) \cap B = \phi} = a_n$$

In the same way, $\beta_n^B \leq b_n$. With theorem1, we proved it.

noveu n.

Theorem 3. $\{\vec{X}(n)\}$ converges to global optimum solutions set M with probability 1, when the transition probability of population $\{\vec{X}(n)\}$ obeys the rules like follows,

$$P\{\vec{X}(n+1) \cap B \neq \phi / \vec{X}(n) = \vec{X}\} = 1,$$

where $\vec{X} \cap B \neq \phi$ (10)

$$P\{\vec{X}(n+1) \cap B \neq \phi / \vec{X}(n) = \vec{X}\} \ge \delta,$$

where $\vec{X} \cap B = \phi$ (11)

Proof

 $a_n = 0$, $b_n = 1 - \delta$.

According to theorem2, we proved it.

Theorem 4. The GEA algorithm converges to global optimum solutions set M with probability 1.

Proof.

With the elite strategy in step 5, we can get, $P{\vec{X}(n+1) \cap B \neq \phi / \vec{X}(n) = \vec{X}} = 1$

where
$$\vec{X} \cap B \neq \phi$$
. (12)

The transition probability is represented as

$$P\{T_{m} \bullet T_{c} \cdot \bullet T_{s}(\vec{X}) = Y\}$$

$$P\{T_{m} \bullet T_{c} \cdot \bullet T_{s}(\vec{X}) = Y\}$$

$$= \sum_{X \in S} P\{T_{m}(X) = Y\} \cdot P\{T_{c} \bullet T_{s}(\vec{X}) = X\}$$

$$P\{T_{c} \bullet T_{s}(\vec{X}) = X_{i}\}$$

$$\geq$$

$$P\{T_c(X_i, X_i) = X_i\} \cdot P\{T_s(\tilde{X}) = (X_i, X_i)\}$$

As can be seen in step6 and 7, a single-point crossover operator was used. So,

$$P\{T_{s}(\vec{X}) = (X_{i}, X_{i})\} = (f(X_{i}) / \sum_{k=1}^{N} f(X_{k}))^{2} > 0$$

$$P\{T_c(X_i, X_i) = X_i\} = 1$$
$$P\{T_c \bullet T_s(\vec{X}) = X_i\} > 0$$

The mutation rate $p_m(0 \le p_m \le 1)$ is greater than 0, therefore,

 $P\{T_m(X)=Y\}>0$

$$P\{T_m \bullet T_c \cdot \bullet T_s(X) = Y\} > 0$$

So,

$$P\{\vec{X}(n+1) \cap B \neq \phi / \vec{X}(n) = \vec{X}\} \ge \delta > 0$$

, where $\vec{X} \cap B = \phi$ (13)

According to (12), (13) and theorem3, we proved it.

C. Complexity Analysis

Complexity of an algorithm refers to the amount of time and space required to execute the algorithm in the worst case. Determining the performance of a computer program is a difficult task and depends on a number of factors such as the computer being used, the way the data are represented, and how and with which programming language the code is implemented. Here, taking into account the total computational cost and memory requirements, we will present a general evaluation of the complexity of the proposed algorithm.

The time needed to execute it comprises with three main parts:

(1) Initialization phase: initialize a population with M individuals. These can be performed in O(M) time.

(2) Grouping phase: the time for grouping individuals into different group $(group_1, group_2, ..., group_N)$ by their ages is O(M). The time for evaluating the fitness of individuals in different group is O(M).

(3) Selection, integration, crossover and mutation phase: the time for Ts, Ti, Tc and Tm operation, is $O(m_1^2 + m_2^2 + ... + m_N^2)$, O(M), O(M), and O(M). M is the total numbers of the individuals in the current population, m_1 , m_2 ,..., m_N are the individual numbers of different groups, $(m_1+m_2+...+m_N=M)$.

By summing up the computational time required for each of these phases, it is possible to determine the total computational time of the algorithm. Let the number of global search over iterations is g, hence, the computational time of the whole process is given by

 $\begin{array}{l} O(M)+O(M \times g)+O(M \times g)+O((m_1^2 + m_2^2 + ... + m_N^2) \times g) \\ +O(M \times g)+O(M \times g)+O(M \times g) \\ =O(M+5 \times M \times g + (m_1^2 + m_2^2 + ... + m_N^2) \times g) \end{array}$

 $=O((5 \times M + m_1^2 + m_2^2 + ... + m_N^2) \times g)$

It is clear that the computational time of the proposed algorithm is determined by some factors such as population scale M, group scale m_i , and the number of global search over iterations.

For a traditional EA, the computational time of the whole process is $O((3 \times M + M^2) \times g)$.

The difference of the two runtimes used by the two algorithms is:

$$O((5 \times M + m_1^2 + m_2^2 + ... + m_N^2) \times g) - O((3 \times M + M^2) \times g)$$

= O((2 \times M + m_1^2 + m_2^2 + ... + m_N^2 - M^2) \times g)

Because of " $m_1+m_2+...+m_N=M$ ", when M is a large number, the runtime used by traditional EA will greater than the proposed algorithm.

The memory required to run is proportional to the number of individuals M.

V. EXPERIMENTS

In this section, we examine how the proposed algorithm can be used to search for association rules. We look on the support value of a rule as the fitness function and on the confidence and support thresholds as constraints. We have conducted an experiment on a 3.0 GHz Pentium PC with 512MB of memory running with Microsoft Windows XP to measure the performance of the proposed approach. The datasets used in the experiment are obtained from the UCI Mache Learning Repository [17].

A. Different Representation

The drawback of the traditional GA used in mining association rule is the inefficiency in dealing with deception, epistasis and multimodality. However, with the proposed algorithm, the affects of these factors were restricted in a certain area in which all the individuals were at the same age. With different encoding manners, the areas of different age are fixed. So the efficiency of the proposed algorithm is independent of representation. We can see that from Fig.5 in which the rules with 1, 2, 3 and 4 items were described by red, pea green, pink and green circle. The rules whose confidence and support values are great than the two thresholds were shown by circle with a blue edge. The founded rules were shown in Fig.4-A, when we represent the individuals in the manner 1. In Fig.4-b, another representation manner was shown. If we look on the number of the circles between two rules as distance, we will find the distance between two rules are fixed with the changing of the encoding manner. However, for a traditional GA, the individuals in one generation were not grouped into different groups. So, the selection operation takes place within the whole generation. And as the changing of the encoding manner, the distance between two individuals of the same generation changed together.



Figure 5. The Founded Association Rules in Different Representation Manner

B. The Effectiveness of Different Encoding Manners

In this section, we compared the runtime of the two algorithms GEA and GA when the dataset was coded by different manner. The dataset used is Balance Scale which comes from UCI Machine Learning Repository. There are 625 instances and 3 classes in this dataset. The four attributes are left-weight, left-distance, right-weight and right-distance. We encode the individuals with different encoding manner, which can be seen in talbe.1. In this experiment, we use the two algorithms to find the individual who has the great support value when the confidence of it is greater than confidence threshold. By this examination, we can examine the effectiveness of different encoding manners on the two algorithms. We run the two algorithms ten times separately. Then calculated the mean runtime of the two algorithms and shown them in the table 1.

From the comparison we can see that in the ten different cases, the runtime of the two algorithms for searching the individual with maximal support value are so different. For GA, with different encoding manners, the mean runtime are different. However, for GEA, the mean runtime of the ten implementations are very similar. That is to say, the proposed algorithm GEA is robust with different encoding manner. But, GA is not robust for different encoding manner.

TABLE I.	DIFFERENT	ENCODING	MANNERS

Rep#	Left-Weight	Left-Distance	Right-Weight	Right-Distance	Class Name	GA	GEA

	"1" "2" "3" "4" "5	"1" "2" "3" "4" "5" "1" "2" "3" "4" "5" "1" "2" "3" "4" "5" "L" "B" "R"	Time	Time
Case1	$\begin{array}{ccc} 001 \ 010011 \ 100 & 1 \\ 1 \end{array}$	001 010011 100 101 001 010011 100 101 001 010011 100 101 01	12.4s	8.3s
Case2	010 001100 011 10	001 010011 100 101 001 010011 100 101 001 010011 100 101 01	16.8s	8.5s
Case3	010 001100 011 1	010 001100 011 101 001 010011 100 101 001 010011 100 101 01	18.0s	8.6s
Case4	010 001100 011 10	010 001100 011 101 101 001100 011 010 001 010011 100 101 01	26.2s	8.4s
Case5	010 001100 011 10	010 001100 011 101 101 001100 011 010 101 001100 011 010 01 10 11	20.6s	9.1s
Case6	001 010011 100 $\frac{10}{1}$	001 010011 100 101 001 010011 100 101 001 010011 100 101 00 10 01	18.5s	8.8s
Case7	010 001100 011 10	001 010011 100 101 001 010011 100 101 001 010011 100 101 00 10 01	22.8s	7.9s
Case8	010 001100 011 1	010 001100 011 101 001 010011 100 101 001 010011 100 101 00 10 01	34.1s	9.4s
Case9	010 001100 011 10	010 001100 011 101 101 001100 011 010 001 010011 100 101 00 10 01	26.2s	8.3s
Case10	010 001100 011 10	010 001100 011 101 101 001100 011 010 101 001100 011 010 00 10 01	15.6s	8.8s

C. Different Datesets

In this section, we compared the two algorithms with different datasets. The results were shown in Table.2, which illustrates the statistic results of computation time, the threshold of confidence and support, the number of instances and attributes of the dataset. When the confidence threshold of dataset Balance Scale was set to 100%, we could almost not find a validated solution. So, we set it to 80%. One important thing we must emphasize is that the complexity of a dataset is decided not only by the number of instances and attributes, but also by the configuration of instances and the number of items and classes in it. So, we should not simply compare computation times between different datasets. From table.2, we can see that the average performance of the proposed algorithm GEA is better than the traditional GA in mining maximal supported association rule.

TABLE II. COMPARISION IN DIFFERENT DATASET

Dataset	Attribute	Instances	Supp(R)	Conf(R)	GA(s)	GEA(s)
Balance Scale	4	625	>3.8%	>80%	12	8
Hayes-Roth	5	132	>9.8%	100%	2.2	2
Chess	6	28056	>0.039%	100%	979	768
Car Evaluation	6	1728	>33.3%	100%	16	9
Nursery	8	12960	>33.3%	100%	166	132
Contraceptive Method Choice	9	1473	>1.4%	100%	91	69
tic-tac-toe Endgame	9	958	>9.5%	100%	59	42
Poker Hand	11	25010	>056%	100%	7296	5402
Adult	14	32561	>2.4%	100%	2434	1844
Congressional Voting	16	435	>50.57%	100%	174	132

VI. DISCUSSION AND CONLUSION

Inspired by the natural evolutionary process, we proposed an evolutionary algorithm, GEA, to deal with the problems of mining association rules with maximal support values. In GEA, each individual has a flexible life span during which individuals can grows and reproduces. The individuals compete with others only when they were in the same groups or at the same ages. By doing so, the problems of deception and linkage were limited in a special area and the GEA algorithm can find the global optima efficiently than traditional GA.

The evaluation results have shown that the proposed GEA approach has achieved good performance in comparison with conventional EA algorithms in mining association rules. Being different with GA, the performance of the proposed algorithm is stable with different representations of individuals. This is very useful for dealing problems of linkage and epistasis. Next, we will study on how to pass a message from parent individual to son individuals.

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